

FIN 670 Final Project II Guidelines and Rubric

Overview

The second final project for this course, due in Module Ten, is a **presentation** in which you will outline the decisions you made and rationale for those decisions as you created your portfolio. You will discuss how investors will make money based on your recommendations. The presentation provides an opportunity for you to show how you would present complex financial information to an intended audience of stakeholders.

The ability to create a portfolio of derivative instruments and present that information to an intended audience of stakeholders is an invaluable skill in the professional financial field. You will choose one of three case studies to create a portfolio proposal and present this information to stakeholders. When these options and derivative instruments are presented, it is important to consider how the instruments you choose could potentially result in financial gains or mitigate losses for the company or investor and to be able to articulate the rationale behind those choices. It is, of course, equally important to speak to risks, potential losses, and ethical considerations, and to be able to address both internal and external factors that could impact investment return. A skilled financial practitioner will be able to forecast the behavior of these instruments on the market and support this with relevant examples.

This assessment addresses the following course outcomes:

- Analyze the functions of derivative instruments within a financial context for supporting portfolio management and corporate finance decisions
- Determine risks and benefits of derivative types for identifying their impacts on investment return
- Assess the impacts of extrinsic and intrinsic factors on the value and price of a derivative instrument for articulating their influence on portfolio management and corporate finance decisions
- Develop portfolio management and corporate finance decisions in compliance with ethical and professional standards
- Evaluate the potential gains and losses of derivative instruments based on economic and market expectations

Prompt

Presentation: Based on your final project portfolio proposal, submit your final presentation. Your presentation to stakeholders should highlight the following critical elements of your portfolio proposal: Recommendations and Rationale, Risks and Benefits, Financial Considerations, Ethical and Professional Standards, and Conclusion. Also, share anything from your Excel spreadsheet that supports your findings. These stakeholders may not be experts in finance, so it will be important to communicate effectively by using language that is appropriate for the intended audience. Be sure to use speaker notes to elaborate on your ideas.

Specifically, you must address the **critical elements** listed below. Most of the critical elements align with a particular course outcome (shown in brackets).

- I. **Presentation: Scenario Introduction**
 - A. Present the problem/scenario that is being addressed and the **recommended derivative instruments** to address the scenario, using language that is appropriate for the intended audience.

- II. **Presentation: Financial Considerations**
 - A. Describe **potential risks and benefits** associated with the recommended instruments, using language that is appropriate for the audience.
 - B. Explain how **investment and market expectations** are communicated through the price and behavior of recommended derivative instruments, using specific examples.
 - C. Assess potential **gains and losses** that could potentially impact the specific derivative instruments recommended, providing specific examples to demonstrate market expectations.
 - D. Describe how the recommended investments are consistent with strategies to **mitigate** identified **potential risks**.
 - E. Describe how specific extrinsic and intrinsic factors could potentially **influence portfolio management decisions**, providing specific examples.
 - F. Demonstrate use of investment **selection and management strategies** to ensure that the portfolio remains within industry-standard CFA parameters.

Final Project II Rubric

Guidelines for Submission: For this project, you will submit a PowerPoint presentation. The presentation should be 8–10 slides (not including title page and references) and should utilize speaker notes and the latest guidelines for APA formatting as needed.

Critical Element	Exemplary (100%)	Proficient (90%)	Needs Improvement (70%)	Not Evident (0%)	Value
Presentation: Scenario Introduction: Recommended Derivative Instruments [FIN-670-01]	Meets “Proficient” criteria and recommendation of derivative instruments demonstrates a complex grasp of the scenario and shows awareness of the intended audience	Presents the problem/scenario that the company is situated with and the recommended derivative instruments to address the scenario using language that is appropriate for the intended audience	Presents the problem/scenario that the company is situated with, and recommends instruments to address the scenario, but recommendations are not described in a way that is appropriate for the intended audience	Does not present the problem/scenario that the company is situated with and the recommended derivative instruments to address the scenario	14.50
Presentation: Financial Considerations: Potential Risks and Benefits [FIN-670-02]	Meets “Proficient” criteria and description demonstrates sophisticated awareness of risks and benefits associated with derivative instruments	Describes potential risks and benefits associated with the recommended instruments, using language that is appropriate for the audience	Describes potential risks and benefits associated with the recommended instruments, but description is cursory	Does not describe potential risks and benefits associated with the recommended instruments, using language that is appropriate for the audience	14.50

<p>Presentation: Financial Considerations: Investment and Market Expectations [FIN-670-05]</p>	<p>Meets “Proficient” criteria and examples used show a complex grasp of how investment and market expectations are communicated through the behavior of derivative instruments</p>	<p>Explains how investment and market expectations are communicated through the price and behavior of recommended derivative instruments, using specific examples</p>	<p>Explains how investment and market expectations are communicated through the price and behavior of recommended derivative instruments, but explanation is lacking in detail or inaccurate</p>	<p>Does not explain how investment and market expectations are communicated through the price and behavior of recommended derivative instruments</p>	<p>10.88</p>
<p>Presentation: Financial Considerations: Gains and Losses [FIN-670-05]</p>	<p>Meets “Proficient” criteria and examples provided demonstrate a sophisticated awareness how of market expectations inform potential gains and losses</p>	<p>Assesses potential gains and losses that could potentially impact the specific derivative instruments recommended, providing specific examples to demonstrate market expectations</p>	<p>Assesses potential gains and losses that could potentially impact the specific derivative instruments recommended, but examples provided are cursory or illogical</p>	<p>Does not assess potential gains and losses that could potentially impact the specific derivative instruments recommended</p>	<p>10.88</p>
<p>Presentation: Financial Considerations: Mitigate Potential Risks [FIN-670-02]</p>	<p>Meets “Proficient” criteria and strategies recommended demonstrate a sophisticated understanding of risk mitigation</p>	<p>Describes how the recommended investments are consistent with strategies to mitigate identified potential risks</p>	<p>Describes how the recommended investments are consistent with strategies to mitigate identified potential risks, but explanation is cursory or illogical</p>	<p>Does not describe how the recommended investments are consistent with strategies to mitigate identified potential risks</p>	<p>14.50</p>
<p>Presentation: Financial Considerations: Influence Portfolio Management Decisions [FIN-670-03]</p>	<p>Meets “Proficient” criteria and examples chosen illustrate a sophisticated understanding of how these factors influence portfolio management decisions</p>	<p>Describes how specific extrinsic and intrinsic factors could potentially influence portfolio management decisions, providing specific examples</p>	<p>Describes how specific extrinsic and intrinsic factors could potentially impact portfolio management decisions, but explanation or examples are illogical or cursory</p>	<p>Does not describe how specific extrinsic and intrinsic factors could potentially impact portfolio management decisions</p>	<p>14.50</p>
<p>Presentation: Financial Considerations: Selection and Management Strategies [FIN-670-04]</p>	<p>Meets “Proficient” criteria and discussion of investment selection and management strategies demonstrates a complex grasp of industry standard CFA parameters</p>	<p>Demonstrates use of investment selection and management strategies to ensure that the portfolio remains within industry standard CFA parameters</p>	<p>Demonstrates use of investment selection and management strategies to ensure that the portfolio remains within industry standard CFA parameters, but discussion of strategies lacks detail or contains inaccuracies</p>	<p>Does not demonstrate use of investment selection and management strategies to ensure that the portfolio remains within industry standard CFA parameters</p>	<p>14.50</p>

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Articulation of Response	Submission is free of errors related to citations, grammar, spelling, syntax, and organization and is presented in a professional and easy-to-read format	Submission has no major errors related to citations, grammar, spelling, syntax, or organization	Submission has major errors related to citations, grammar, spelling, syntax, or organization that negatively impact readability and articulation of main ideas	Submission has critical errors related to citations, grammar, spelling, syntax, or organization that prevent understanding of ideas	5.74
Total					100%