

FIN 670 Module Six Journal Guidelines and Rubric
Ethical Considerations in Derivative Securities

In this course, the journal will be used for reflection. Journal activities in this course are private between you and the instructor.

Overview: For this journal task, you will review the Module Six resources along with all three case studies for your final project. You will identify the derivative security in each case, discuss any ethical issues that are presented by the securities in the reports of each case, and discuss how this analysis will help you in completing your final project.

Prompt: First, review this module’s resources along with all three of the HBR case studies required of the final project ([Principal-Protected Equity-Linked Note](#), [The Bombay Stock Exchange: Liquidity Enhancement Incentive Programmes](#), and [Betting on Failure: Profiting From Defaults on Subprime Mortgage](#)); in a 2- to 3-paragraph journal assignment, address the following:

- **Derivative Securities:** Identify and briefly discuss the derivative securities used in each case.
- **Ethical Issues:** What potential ethical issues could these securities present for each case? Explain your reasoning.
- **Analysis/Application:** How will your analysis of these derivative securities and ethical issues help you in completing your final project?

Reference the case studies and other course resources to support your responses.

Rubric

Guidelines for Submission: Submit assignment as a Word document with double spacing, 12-point Times New Roman font, and one-inch margins.

Critical Elements	Proficient (100%)	Needs Improvement (75%)	Not Evident (0%)	Value
Derivative Securities	Identifies and briefly discusses the derivative securities used in each case	Identifies but does not sufficiently discuss the derivative securities used in each case	Does not identify or discuss the derivative securities used in each case	30
Ethical Issues	Identifies potential ethical issues that these securities could present for each case, and explains reasoning	Identifies potential ethical issues that these securities could present for each case, but does not sufficiently explain reasoning	Does not identify potential ethical issues that these securities could present for each case	30
Analysis/Application	Describes how analysis of derivative securities and ethical issues will be applied to final project	Describes how analysis of derivative securities and ethical issues will be applied to final project, but description is cursory or lacking in detail	Does not describe how analysis of derivative securities and ethical issues will be applied to final project	30

Southern New Hampshire University

Articulation of Response	Journal assignment is free of errors in organization and grammar	Journal assignment contains errors of organization and grammar, but errors are limited enough so that assignment can be understood	Journal v contains errors of organization and grammar making the assignment difficult to understand	10
Total				100%