



FIN 620 Module Three Short Paper Guidelines and Rubric

Overview: In this assignment, you will investigate an unethical event that took place in the U.S. capital markets. Based on the [CFA Code of Ethics and Standards of Professional Conduct](#), you will review and discuss the event.

Prompt: Your paper must address the **critical elements** listed below.

- Find and research a **current event** (in the last five years) about an unethical practice in a U.S. capital market.
- **Analyze the event** using evidence from your research, and offer a reaction, response, or solution.
- **Discuss how the capital market failed** to meet standard ethical and professional practices.

Rubric

Guidelines for Submission: Your paper should be submitted as a 2- to 3-page Microsoft Word document with double spacing, 12-point Times New Roman font, and one-inch margins. Use at least three academic sources, which should be cited according to APA style.

Critical Elements	Exemplary (100%)	Proficient (90%)	Needs Improvement (70%)	Not Evident (0%)	Value
Current Event	The selected event happened within the last five years and involved unethical practices within a U.S. capital market		The selected event happened within the last five years or involved unethical practices within a U.S. capital market, but not both	<i>The selected event did not happen within the last five years and did not include unethical practices within a U.S. capital market</i>	20
Analysis of the Event	Analysis offers a well-thought-out response, reaction, or solution using evidence from the research and demonstrates critical thinking beyond the obvious connection	Analysis uses evidence from the research and offers a reaction, response, or solution	Analysis offers a response, reaction, or solution using evidence from the research, but response lacks detail, contains gaps, or is illogical	<i>Analysis does not use evidence from the research or offer a reaction, response, or solution</i>	35
Discussion of How Capital Market Failed	Provides an in-depth discussion and elaboration in all sections of the paper on how the capital market failed	Discusses how the capital market failed to meet standard ethical and professional practices	Discusses how the capital market failed, but response lacks detail, contains gaps, or is illogical	Does not discuss how the capital market failed	35

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Articulation of Response	Submission is free of errors related to citations, grammar, spelling, syntax, and organization and is presented in a professional and easy to read format	Submission has no major errors related to citations, grammar, spelling, syntax, or organization	Submission has major errors related to citations, grammar, spelling, syntax, or organization that negatively impact readability and articulation of main ideas	Submission has critical errors related to citations, grammar, spelling, syntax, or organization that prevent understanding of ideas	10
Total					100%